



# INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 9001:2015 Certified)

## **TRAINING PROGRAMME**

**For**

**"INTERNAL AUDIT OFFICERS OF BANKS"**

**In VIRTUAL (Online) MODE**

**20.12.2023 & 21.12.2023 (Wednesday & Thursday)**



**Coordinated by:**  
**Indian Institute of Banking & Finance,**  
**Professional Development Centre, South Zone,**  
**94, Jawaharlal Nehru Road**  
**Vadapalani, Chennai - 600 026**

**Website:** [www.iibf.org.in](http://www.iibf.org.in)

**For Details & Nomination, please contact:**

**Individuals can also register for the program at their own cost**

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## **TRAINING PROGRAMME FOR INTERNAL AUDIT OFFICERS OF BANKS (On-line)**

### **BACKGROUND**

During its 95 years of service, IIBF has emerged as a premier institute in the banking industry. Considering the emerging requirements of the bankers in the dynamic environment, IIBF has been offering certification courses and training programmes to the banking professionals.

The training programs are designed in consultation with the industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis. The regular offerings in varied areas prepare the banking professionals ahead of the impending change.

IIBF offers virtual training programmes for its Blended Certification courses and many other programmes to facilitate learning across the country.

IIBF also has state-of-the-art training facilities at its Leadership Centre at Mumbai. The four Professional Development Centres (PDCs) at Delhi, Chennai, Kolkata & Mumbai cater to the increasing demand for the focused training programmes.

### **PURPOSE**

Internal Auditors play a vital role in the sound management of the Banks supporting the compliance, systems and procedures, apart from ensuring the safety and security.

The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level. The program takes the participants to different aspects of their functioning including the risk-based audit, risk rating documents verifications and revenue leakage.

The programme will be facilitated by senior bankers and experienced faculties through interactive sessions, sharing of experiences and discussions on live cases.

This program has been designed taking into account the Audit Officers need to update themselves through discussions and interactions with the learned Faculties and peers.

## OBJECTIVES

- ✚ To Improve the knowledge and skill of the Audit Officers
- ✚ To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- ✚ To support in developing a cadre of professional internal auditor, they being the real watch dogs.

## CONTENT OVERVIEW

- ✚ Relevance of Audit – Audit History Traditional vs Risk based audit - Audit policy.
- ✚ Risk Based Internal Audit and Risk based supervisions - with features & application
- ✚ Auditing the branches under the CBS environment. Auditing different department.
- ✚ Practical insights into different issues – capturing the signals, supporting the monitoring and risk management.
- ✚ Auditing fund based & non-fund based credit facilities and forex business
- ✚ Role of Auditor in KYC/AML compliance .
- ✚ Audit as a tool for prevention of frauds.
- ✚ IT Governance and GRC- Audit automation.

## METHODOLOGY

Lectures, Case Studies, Discussions & interactions and sharing of experience on Virtual Platform (ZOOM/Webex)

## TARGET GROUP

**Officials working in the Internal Audit/ Inspection Department at Central/Circle/Zonal/Regional level audit offices and Concurrent Auditors working in branches.**

### DURATION

**2 Days - From 20<sup>th</sup> & 21<sup>st</sup> December 2023 (Wednesday & Thursday) 10.00 A.M. to 5.00 P.M.**

### TRAINING FEE:

Rs.4,000/- per participant plus GST @18%, totalling Rs.4,720/- (In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account with State Bank of India, details of which are given below:

- ✓ Name of the Bank branch: State Bank of India, Vidya Vihar(West), Mumbai.
- ✓ Account no: **37067835882** IFSC code: **SBIN0011710**
- ✓ PAN No: AAATT3309D and GSTIN No. 33AAATT3309D2ZY

**(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)**



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**NOMINATION FORM (for Banks/FIs & Other Organisations)**

**Programme title:** **Programme for Internal Audit Officers Of Banks**

**Date:** 20<sup>th</sup> & 21<sup>st</sup> December 2023 (Wednesday & Thursday)

**Programme Type:** **On-line**

**PARTICIPANTS NOMINATED:**

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Branch /Office	Mobile No. and Land Line No.	E-mail
1					
2					
3					
4					

Bank/Organisation: \_\_\_\_\_

Address: \_\_\_\_\_

Pin Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

Fee

Rs. 4,000/-per participant plus GST@18% i.e. Rs.720/- **aggregating to Rs.4,720/-**

(In case of TDS deduction, please send us TDS certificate)

**Programme fees to be remitted to credit of Institute's account details as follows:**

- **Name of the Bank branch:** State Bank of India, Vidya vihar (West).
- **Account no:** **37067835882** **IFSC code:** **SBIN0011710**
- **PAN No:** AAATT3309D and **GSTIN No.** 33AAATT3309D2ZY
- Please quote your GST Number in the nomination form.

**Please send your nominations at the earliest to:**

**Programme Co-ordinator**

**Mr. R Govindarajan**  
Head – PDC, South Zone, Chennai  
Phone: +91- 44 - 23721429  
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**FORM FOR SELF-SPONSORED CANDIDATES**

**Programme title:** **Programme for Internal Audit Officers Of Banks**

**Date:** 20<sup>th</sup> & 21<sup>st</sup> December 2023 (Wednesday & Thursday)

**Programme Type:** **On-line**

**Details of candidate:**

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	UTR NUMBER/DATE towards fees remitted
1					

**Name & Address of Bank/ FI employed with:**

**Postal Address of participant:**

**PIN code:**

**Fee:** Rs. 4,000/-per participant plus GST@18% i.e. Rs.720/- aggregating to **Rs.4,720/-**

Programme fees to be remitted to credit of Institute's account details as follows:

- **Account Name:** Indian Institute of Banking & Finance
- **Name of the Bank branch:** State Bank of India, Vidya Vihar (West), Mumbai
- **Account no:** 37067835882      **IFSC code:** SBIN0011710
- **PAN No:** AAATT3309D and **GSTIN No.** 33AAATT3309D2ZY

Please send your nominations at the earliest to:

Mr. R Govindarajan Head – PDC, South Zone, Chennai Phone: +91- 44 - 23721429 Mobile: +91 9619160141 Email: <a href="mailto:head-pdcsz@iibf.org.in">head-pdcsz@iibf.org.in</a>	Ms. Priya Maria A Senior Executive, PDC-South Zone Phone: +91- 44 -24722990 Mobile: +91 9566274128 Email: <a href="mailto:se.pdcsz1@iibf.org.in">se.pdcsz1@iibf.org.in</a>
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